

# PRIVACY NOTICE

## FACTS

### WHAT DOES CAMPBELL NEWMAN ASSET MANAGEMENT, INC. (“CN”) DO WITH YOUR PERSONAL INFORMATION?

## WHY?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

## WHAT?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Information included on new account forms and other related forms such as name, address, Social Security number, assets and income
- Information about your transactions with us such as purchases, sales, account balances and bank account information

When you are *no longer* our customer, we continue to share your information as described in this notice.

## HOW?

All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons CN chooses to share; and whether you can limit this sharing.

## REASONS WE CAN SHARE YOUR PERSONAL INFORMATION

## DOES CN SHARE?

## CAN YOU LIMIT THIS SHARING?

**For our everyday business purposes** — such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus

Yes

No

**For our marketing purposes** — to offer our products and services to you

Yes

No

**For joint marketing with other financial companies**

No

We don’t share

**For our affiliates’ everyday business purposes** — information about your transactions and experiences

No

We don’t share

**For our affiliates’ everyday business purposes** — information about your creditworthiness

No

We don’t share

**For nonaffiliates to market to you**

No

We don’t share

## QUESTIONS?

Call (414) 908-6670 or email [compliance@campbellnewman.com](mailto:compliance@campbellnewman.com)

## WHO WE ARE

Who is proving this notice?

Campbell Newman Asset Management, Inc. (“CN”)

## WHAT WE DO

How does CN protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does CN collect my personal information?

We collect your personal information, for example, when you

- open an account
- enter into an investment advisory relationship

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes – information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.

## DEFINITIONS

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- CN does not have any affiliates

Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- CN does not share with nonaffiliates so they can market to you

Joint Marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- CN does not jointly market

## OTHER IMPORTANT INFORMATION

**California Residents:** We will not share your information with companies outside of Campbell Newman Asset Management except as permitted by law, which includes to service your account or with your consent. We will not share information under a joint marketing agreement as defined above.